



## Seminar on Mediation Model for Structured Financial Products Dispute: Ad-hoc or Others’?

結構性金融產品爭議之調解模式 – 自我協商或其他機構程序?

Organized by CPD & Membership Committee (CPDMC)

### ➤ **Contents**

Mediators are facing various kinds of financial disputed cases relating to structured financial products issued by various financial institutions. In practice, there is resolution procedure formed by Financial Dispute Resolution Centre (“FDRC”) and specified handling mechanism applied by financial institutions. As a mediator, what kind of mediation model and skills you should know or apply on each processing?

This seminar will cover the following issues:

- What is a structured financial product nowadays?
- Essential nature of financial disputed cases and basic conflict reasons of disputants.
- Standing points of financial institutions and their disputants.
- Skills to mediate in the course of mediation. Ad-hoc or FDRC’s model?
- Real case sharing.

### ➤ **CPD Points Granted:**

HKMC: 1.5 points; HKMAAL: 1.5 points;

### ➤ **Guest Speaker**

**Mr. Simon S.W. Wong**

LLM-ADR, MBA, BA  
Executive Director / Sr. Advisor

Formerly a seasoned banker over 35 years and currently an Advisor/Executive Director of a holding Company, Simon is a practicing mediator with extensive experience in numerous commercial and financial disputed cases. Apart from conducting seminars/courses for HK Police Force, AXA, VTC-Peak, HSBC, Standard Charter Bank, HK Law Society, China commercial Law firm, he is a part-time teacher of Institute for China Business in China (HKU- SPACE), and trainer/coach of Municipal Govt. of Nanhai District, Foshan, China, Hong Kong Efficient Legal Professional Mediation Centre, HK Academy of Medicine, various education institutions in HK, Thailand Arbitration Centre...etc.

Mr. Wong was the formal vice-Chairman of HKU LLM-ADR Alumni (2011), a Panel Mediator of FDRC/HKMAAL/HKMC/Shenzhen Civil & Commercial Mediation Centre/Thailand Mediation Centre, also acting as an Assessor of HKMAAL/ HKMC.

### ➤ **Host**

**Mr. Alfred Chan**

Vice-President of Hong Kong Mediation Centre  
Chairperson of CPD & Membership Committee

<b>Date and Time:</b>	<b>16<sup>th</sup> March 2016 (Wednesday), 7:15 p.m. – 8:45 p.m.</b>
<b>Registration Time:</b>	<b>6:45 p.m.</b>
<b>Venue:</b>	<p><b>Preston Training Centre</b>  <b>Rm 408 - 409, 4/F, Fortress Tower,</b>  <b>250 King's Road, North Point, Hong Kong</b>  <b>(Fortress Hill MTR Station – Exit B)</b></p> <p>普敦培訓中心  香港北角英皇道 250 號  北角城中心 408-9 室  (炮台山港鐵站 B 出口)</p> <p><b>Please refer to attached map for details.</b></p>
<b>Target Audience:</b>	Panel Members / Associate Members / Non-members
<b>Language:</b>	Cantonese
<b>Fee:</b>	Panel Members: HK\$100 Associate Members: HK\$150 Non-members: HK\$200
<b>Payment Method:</b>	1) Crossed-cheque: Payable to “Hong Kong Mediation Centre Limited” and send it to “21/F., Success Commercial Building, 245-251 Hennessy Road, Wan Chai, Hong Kong”. Please write down your Full Name and Membership Number at the back of the cheque; OR 2) Bank transfer: Please provide Bank-in Slip and state your Full Name and Membership Number. Bank Name: The Hongkong and Shanghai Banking Corporation Ltd (HSBC) Bank Account Name: Hong Kong Mediation Centre Limited Bank Account Number: 162-242226-001
<b>Registration Period:</b>	<b>on or before 8<sup>th</sup> March 2016</b>
<b>Enquires:</b>	Please contact <b>Ms. Kayley Wong</b> Tel: 3620 3076 Email: <a href="mailto:membership@mediationcentre.org.hk">membership@mediationcentre.org.hk</a>

## ➤ **Registration Method**

Please complete the registration form via the link below:

[https://docs.google.com/forms/d/1TVUB9fPnpXGsZMDDQYWHEGhZtkajjSvOvgk\\_j\\_g9II4/viwwform?usp=send\\_form](https://docs.google.com/forms/d/1TVUB9fPnpXGsZMDDQYWHEGhZtkajjSvOvgk_j_g9II4/viwwform?usp=send_form)

### **Remarks:**

- A. Places will be allocated on first-come-first-served basis counting according to payment date.
- B. Registration must be made by returning the completed registration form via google link on or before 8<sup>th</sup> March 2016 and sending the crossed-cheque or copy of the bank-in slip to HKMC on or before 9<sup>th</sup> March 2016. Confirmation will be notified by email upon successful registration on or before 10<sup>th</sup> March 2016.
- C. If you do not receive any email confirmation from the Secretariat on or before 10<sup>th</sup> March 2016, your name will be on the waiting list. Your submitted cheque will be voided subsequently by the Secretariat if you have not been assigned a place in the captioned event. If your application fee was made by bank transfer, a cheque will be issued to you as the refund of the payment.
- D. Participant must sign in and sign out. CPD Certificate will be issued at the end of the event. **Participants**

**who are late for more than 20 minutes or leave earlier will not be qualified for the completion of HKMC's CPD Points and NO Certificate will be issued.**

- E. *If you are not able to attend the captioned event after the receipt of the confirmation email, you are required to inform the Secretariat as soon as you are aware of unavailability on / before the event date **by email**. No refund will be made after confirmation.*
- F. *HKMC reserves the right to accept/reject the registration.*
- G. *If typhoon signal no.8 or black rainstorm warning signal is hoisted and not lowered by 4pm, the event will be postponed or cancelled. Rescheduled date/time/venue will be announced in due course.*
- H. *The event is subject to change at any time without liability.*